MNM Stock Broking Pvt. Ltd.

VOLUNTARY DOCUMENT NO-2 FOR RUNNING ACCOUNT AUTHORIZATION

To,

MNM Stock Broking Pvt. Ltd.

(Member of NSE, BSE, MCX)

I/WE UNDERSTAND THAT THIS IS PURELY A VOLUNTARY DOCUMENT AND SHALL FORM PART OF THE NON MANDATORY DOCUMENTS OF MY KYC FORM, IN CASE OF THE SAME SHALL DILUTE YOUR RESPONSIBILITY AS A STOCK BROKER OR IN CONFLIT WITH ANY OF THE CLAUSES IN THE MANDATORY DOCUMENTS, RULES, BYELOWS, REGULATIONS, NOTICES, GUIDELINES AND CIRCULARS ISSUED BY SEBI AND THE STOCK EXCHANGES FROM TIME TO TIME, THE SAME SHALL STAND NULL AND AVOID.

(1) About Voluntary Document:

I/We do hereby declare and confirm that I/We have throughly read and understood the voluntary document attached which forms part of non-mandatory documents of this KYC form and do accord consent for the same.

(2) About Running Account Authorisation:

I/We do hereby specifically declare and confirm that my/our account is to be maintained in Running Account mode and as such request for the same. I/We also specifically understand and agree that:

- (a) This is only a voluntary authorization that may be revoked by me at any time.
- (b) This authorization shall remain in force untill and unless the same in revoked by me.
- (c) This authorization in signed by me/us only and not by my/our authorized person/POA holder/
- (d) For my/our outstanding obligation/balance on the settlement date, you may retain/funds towards such obligations and may also retain the funds excepted to be required to meet margin or other obligations in compliance with applicable circulars, guideline, rules, regulations, byelaws etc, of the Exchange and/or SEBI and as may be calculated in the manner specified by the exchanges.
- (e) Any dispute from my/our end, arising from statement of account or settlement, shall be brought to your notice in writing by me/us within 7 days of receipt of funds/securities/statement as the case may be.
- (f) Periodic settlement of runnign account may not be neessary for margin trading facility or funds received towards collaterals/margin in form of Bank Guarantee Fixed Deposit etc.
- (g) In case of my/our request/demand you shall transfer the funds/securities within 1 working day if lying with you or within 3 working days of lying with Exchange/Clearing House.
- (h) There shall not be any inter-client adjustments for the purpose of settlement of my/our running account.
- (3) I/We hereby further direct and authorised you to retain net amount upto Rs. 10,000/- (net amount across segment and across stock exchanges) as prescribed by the exchange while setting the account on monthly/quarterly as the case may be) basis in additions to funds being withheldas permitted by SEBI circular MIRSD/SE/Cir-19/2009 in case I/We have traded even once during the last one month/quarter as the case may be while setting the account please send 'statement of accounts' containing an extract from ledger for funds and an extract from the registr of securities displaying all receipts/deliveries of funds/securities. Please explain in the statement(s) being sent the retention of funds/securities and the details of the pledge, if any. I/We agree that I/We shall bring any discrepancy arising from the statement of account on sttlement preferably within 7 working days from the date of receipt of funds/securities or statement as the case may be the notice of Trading. Member so as to allow the trading member to take remadial steps if any are warranted.

Nature of Discloser	Client Consent
To retain an amount of up-to Rs. 10,000/	Yes
across all segments and all exchanges	□ No

(4) About SMS on mobile by Exchanges.

I/We do hereby declare and confirm to receive SMS about my/our position, margin, ledger balance and such other brief information as may be voluntarily sent/provided by you on my / our mobile as mentioned in KYC form. Incase of any charge therein, I/We shall promptly communicate in working to you about the same.

I/We do hereby declare and confirm that the details of e-mai id and mobile no. as may be provided by me/us in KYC pertains to me/us or to my/our family members only. (Family members means my spouse, dependent children, dependent parents only) accordingly, I/We do hereby request you to upload the same as a part of UCC/UCI details to respective exchange(s).

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