



**Annexure – B**

**Investor Complaint Data by Stock Broker**

**Data for month ending- April, 2026**

| Sr. No. | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|---------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|         |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1       | Directly from Investors | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |
| 2       | SEBI (SCORE S)          | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |
| 3       | Stock Exchanges         | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |
| 4       | Other Sources (if any)  | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |
|         | Grand Total             | -                                   | -                         | -             | -         | -                                 | -                              | -                                  |

**Trend of monthly disposal of complaints**

**Corporate Office, Registered Office: - MNM Stock Broking Pvt Ltd**

101 – 102, J.P. Complex, Opp. C.N. Vidhyalaya, Nr. Ambawadi circle, Ambawadi, Ahmedabad-380015,

**Telephone:** 079 48000069, 079 26464676 **Customer complaints:** - [complaints@mnshares.com](mailto:complaints@mnshares.com)



| Sr. No. | Month            | Carried forward from previous month | Received | Resolved* | Pending** |
|---------|------------------|-------------------------------------|----------|-----------|-----------|
| 1       | April -2024      | 0                                   | 0        | 0         | 0         |
| 2       | May-2024         | 0                                   | 0        | 0         | 0         |
| 3       | June-2024        | 0                                   | 0        | 0         | 0         |
| 4       | July-2024        | 0                                   | 0        | 0         | 0         |
| 5       | August-2024      | 0                                   | 0        | 0         | 0         |
| 6       | September-2024   | 0                                   | 0        | 0         | 0         |
| 7       | October-2024     | 0                                   | 0        | 0         | 0         |
| 8       | November-2024    | 0                                   | 0        | 0         | 0         |
| 9       | December-2024    | 0                                   | 0        | 0         | 0         |
| 10      | January-2025     | 0                                   | 0        | 0         | 0         |
| 11      | February-2025    | 0                                   | 0        | 0         | 0         |
| 12      | March -2025      | 0                                   | 0        | 0         | 0         |
| 13      | April – 2025     | 0                                   | 0        | 0         | 0         |
| 14      | May – 2025       | 0                                   | 0        | 0         | 0         |
| 15      | June – 2025      | 0                                   | 0        | 0         | 0         |
| 15      | July – 2025      | 0                                   | 0        | 0         | 0         |
| 16      | August – 2025    | 0                                   | 0        | 0         | 0         |
| 17      | September – 2025 | 0                                   | 0        | 0         | 0         |
| 18      | October – 2025   | 0                                   | 0        | 0         | 0         |
| 19      | November – 2025  | 0                                   | 0        | 0         | 0         |
| 20      | December – 2025  | 0                                   | 0        | 0         | 0         |

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|    |                |   |   |   |   |
|----|----------------|---|---|---|---|
| 21 | January- 2026  | 0 | 0 | 0 | 0 |
| 21 | February- 2026 | 0 | 0 | 0 | 0 |
| 22 | March- 2026    | 0 | 0 | 0 | 0 |
| 23 | April- 2026    | 0 | 0 | 0 | 0 |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

| Sr. No. | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|---------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1       | 2017-18            | Nil                                | Nil                      | Nil                      | Nil                            |
| 2       | 2018-19            | Nil                                | Nil                      | Nil                      | Nil                            |
| 3       | 2019-20            | Nil                                | Nil                      | Nil                      | Nil                            |
| 4       | 2020-21            | Nil                                | Nil                      | Nil                      | Nil                            |
| 5       | 2021-22            | Nil                                | Nil                      | Nil                      | Nil                            |
| 6       | 2022-23            | Nil                                | Nil                      | Nil                      | Nil                            |
| 7       | 2023-24            | Nil                                | Nil                      | Nil                      | Nil                            |
| 8       | 2024-25            | Nil                                | Nil                      | Nil                      | Nil                            |
| 9       | 2025-26            | Nil                                | Nil                      | Nil                      | Nil                            |
|         | <b>Grand Total</b> | Nil                                | Nil                      | Nil                      | Nil                            |

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